# IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

NICE SYSTEMS, INC., a Delaware Corporation, and NICE SYSTEMS, LTD., an Israeli Corporation,	) )
Plaintiffs, v.	) ) Civil Action No. 06-311-JJF
WITNESS SYSTEMS, INC, a Delaware Corporation,	)
Defendant.	)

## NOTICE OF DEPOSITION OF AVAYA, INC.

TO: William J. Marsden, Jr.
Kyle Wagner Compton
Fish & Richardson P.C.
919 N. Market Street, Suite 1100
P.O. Box 1114
Wilmington, DE 19899-1114

PLEASE TAKE NOTICE that at 10:00 a.m. on May 30, 2007, Plaintiffs NICE Systems, Inc., and NICE Systems, Ltd. (collectively "NICE"), by their counsel, will take the deposition upon oral examination of Avaya, Inc. under Rules 30 and 45 of the Federal Rules of Civil Procedure. The deposition will be conducted at the offices of Kaye Scholer, LLP, 425 Park Avenue, New York, New York 10022, or some such other location as is mutually agreed upon by the parties.

The deposition will be conducted under the Federal Rules of Civil Procedure and the Local Rules for the District of Delaware, for the purpose of discovery, for use at trial and for such other purposes as are permitted at law. This deposition will be recorded by videographic and/or ordinary stenographic methods, before a Notary Public or other person duly authorized by law to administer oaths. The deposition will continue from day to day until completed with such

adjournments as to time and place as may be necessary. You are invited to attend and examine the witness(es).

**PLEASE TAKE FURTHER NOTICE** that, under Rule 45 of the Federal Rules of Civil Procedure, Witness Systems, Inc.'s attendance and testimony is compelled by subpoena, a copy of which is attached.

Dated: May 15, 2007

YOUNG CONAWAY STARGATT & TAYLOR, LLP

Melanie K. Sharp (No. 2501)

Karen E. Keller (No. 4489)

Mary F. Dugan (No. 4704)

The Brandywine Building

1000 West Street, 17<sup>th</sup> Floor

Wilmington, DE 19801

P.O. Box 391

Wilmington, DE 19899

(302)571-6681

msharp@ycst.com

KAYE SCHOLER LLP

Scott G. Lindvall

Joseph M. Drayton

425 Park Avenue

New York, NY 10022

(212)836-8000

Attorneys for Plaintiffs Nice Systems, Inc. and Nice Systems, Ltd.

SAO88 (Rev. 12/06) Subpoena in a Civil Case				
Issued by the UNITED STATES DISTRICT COURT				
Southern	DISTRICT OF	New York		
NICE Systems, Inc. and NICE Systems, Ltd. V.	SUBPOENA IN A CIVIL CASE			
Witness Systems, Inc.	Case Number: 06	-CV-311-JJF Dist of Delaware		
TO: Avaya, Inc. 211 Mt. Airy Rd. Basking Ridge, NJ 07920				
☐ YOU ARE COMMANDED to appear in the Untestify in the above case.	ited States District court at the place, de	ate, and time specified below to		
PLACE OF TESTIMONY		COURTROOM		
		DATE AND TIME		
YOU ARE COMMANDED to appear at the place in the above case. (This deposition will be recorded)	ce, date, and time specified below to tesed by videographic and/or stenographic me	tify at the taking of a deposition ethods. See Exhibit A.)		
PLACE OF DEPOSITION Kaye Scholer, LLP, 425 Park Avenue, New York,	York 10022	DATE AND TIME 5/30/2007 10:00 am		
☐ YOU ARE COMMANDED to produce and perplace, date, and time specified below (list document)	nit inspection and copying of the follow ments or objects):	ving documents or objects at the		
PLACE		DATE AND TIME		
☐ YOU ARE COMMANDED to permit inspection	on of the following premises at the date	e and time specified below.		
PREMISES		DATE AND TIME		
Any organization not a party to this suit that is subpodirectors, or managing agents, or other persons who con matters on which the person will testify. Federal Rules	sent to testify on its behalf, and may set to	designate one or more officers, rth, for each person designated, the		
ISSUING OFFICER'S SIGNATURE AND TITLE (INDICATE IF A	ALLarmey for Plaintiff	DATE 5/15/2007		
ISSUING OFFICER'S NAME, ADDRESS AND PHONE NUMBER Joseph Drayton, Kaye Scholer, LLP, 425 Park Ave	₹	36-8000		
	south to the state of the series of the seri	A		

<sup>(</sup>See Rule 45, Federal Rules of Civil Procedure, Subdivisions (c), (d), and (e), on next page)

<sup>&</sup>lt;sup>1</sup> If action is pending in district other than district of issuance, state district under case number.

AO88 (Rev. 12/06) Subpoena in a Civ	il Case			
	DATE	ROOF OF SERVICE PLACE		
	DALL			
SERVED				
SERVED ON (PRINT NAME)		MANNER OF SERVICE		
SERVED BY (PRINT NAME)		TITLE		
	DECI	ARATION OF SEEVER		
I declare under penalty of in the Proof of Service is true	perjury under the laws e and correct.	of the United States of America that the foregoing	information contained	
Executed on				
Executed on	DATE	SIGNATURE OF SERVER		
		ADDR ESS OF SERVER		
		- A CALLED TO THE COLUMN TO TH		

Rule 45, Federal Rules of Civil Procedure, Subdivisions (c), (d), and (e), as amended on December 1, 2006:

(c) PROTECTION OF PERSONS SUBJECT TO SUBPOENAS.

(1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction, which may include, but is not limited to, lost earnings and a reasonable attorney's fee.

include, but is not limited to, lost earnings and a reasonable attorney's fee.

(2) (A) A person commanded to produce and permit inspection, copying, testing, or sampling of designated electronically stored information, books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial.

(B) Subject to paragraph (d)(2) of this rule, a person commanded to produce and permit

(B) Subject to paragraph (d)(2) of this rule, a person commanded to produce and permit inspection, copying, testing, or sampling may, within 14 days after service of the subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to producing any or all of the designated materials or inspection of the premises—or to producing electronically stored information in the form or forms requested. If objection is made, the party serving the subpoena shall not be entitled to inspect, copy, test, or sample the materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production, inspection, copying, testing, or sampling Such an order to compel shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection, copying, testing, or sampling commanded,

(3) (A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it

(i) fails to allow reasonable time for compliance;

(i) tails to allow reasonate time for compinance,

(ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that person resides, is employed or regularly transacts business in person, except that, subject to the provisions of clause (c)(3)(B)(iii) of this rule, such a person may in order to attend trial be commanded to travel from any such place within the state in which the trial is held;

(iii) requires disclosure of privileged or other protected matter and no exception or waiver applies; or

(iv) subjects a person to undue burden.

(B) If a subpoena

(i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or

(ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or

(iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject

to or affected by the subpoena, quash or modify the subpoena or, if the party in whose behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

#### (d) DUTIES IN RESPONDING TO SUBPOENA.

(1) (A) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.

(B) I a subpoena does not specify the form or forms for producing electronically stored information, a person responding to a subpoena must produce the information in a form or forms in which the person ordinarily maintains it or in a form or forms that are reasonably usable

(C) A person responding to a subpoena need not produce the same electronically stored information is more than one form.

(D) A person responding to a subpoena need not provide discovery of electronically stored information from sources that the person identifies as not reasonably accessible because of undue bunlen or cost. On motion to compel discovery or to quash, the person from whom discovery is sought must show that the information sought is not reasonably accessible because of undue bunlen or cost. If that showing is made, the court may nonetheless order discovery from such sources if the requesting party shows good cause, considering the limitations of Rule 26(b)(2)(C). The court may specify conditions for the discovery.

(2) (A) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial-preparation materials, the claim shall be made expressly and shall be supp orted by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the demanding party to contest the claim.
(B) If information is produced in response to a subpoena that is subject to a claim of

(B) If information is produced in response to a subpocna that is subject to a claim of privilege or of protection as trial-preparation material, the person making the claim may notify any party tha: received the information of the claim and the basis for it. After being notified, a party must promptly return, sequester, or destroy the specified information and any copies it has and may not use or disclose the information until the claim is resolved. A receiving party may promptly present the information to the court under seal for a determination of the claim. If the receiving party disclosed the information before being notified, it must take reasonable steps to retrieve it. The person who produced the information must preserve the information until the claim is resolved.

(e) CONTEMPT. Failure of any person without adequate excuse to obey a subpoena served upon that person may be deemed a contempt of the court from which the subpoena issued. An adequate cause for failure to obey exists when a subpoena purports to require a nonparty to attend or preduce at a place not within the limits provided by clause (ii) of subparagraph (c)(3)(A).

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#### **EXHIBIT A - DEPOSITION TOPICS**

#### **DEFINITIONS**

These requests incorporate the following definitions and instructions. without limiting the scope of the Federal Rules of Civil Procedure.

- The words "or," "and," "and/or," "all," "every," "any," "each," "one A. or more," "including," and similar words of guidance are intended merely as such and should not be construed as words of limitation. The words "or" and "and" shall include each other whenever possible to expand, not restrict, the scope of the request. The word "including" shall not be used to limit any general category or description that precedes it. The words "all," "every," "any," "each," and "one or more" shall include each other whenever possible to expand, not restrict, the scope of the request.
- "Witness" shall mean Witness Systems, Inc., and any of the directors, В. officers, consultants, agents, representatives, predecessors in interest (including Evretel plc and any of its directors, officers, consultants, agents, representatives, parents, subsidiaries, affiliates, employees, attorneys and predecessors), successors in interest, parents, subsidiaries, affiliates, assignees, employees, vendors, partners, distributors and attorneys of the same, and any other Persons acting on Witness's behalf, including but not limited to Software Hothouse, Ltd.

- C. "Avaya, Inc." refers to Avaya Inc. and any directors, officers, consultants, agents, representatives, predecessors in interest, parents, subsidiaries, affiliates, divisions, assignees, employees, attorneys and any other persons acting on Avaya's behalf.
- D. The term "Avaya Products" includes any product created, designed, developed or manufactured by Avaya that works in conjunction with, is integrated with or interfaces with any Witness Product including ContactStore for Communication Manager, Avaya's Communication Manager API ("CMAPI"), and Avaya's Application Enablement Services ("AES").
- E. "Adtech" refers to Adtech-GESI, LLC, Adtech Global Solutions or Adtech Solutions and any directors, officers, consultants, agents, representatives, predecessors in interest, parents, subsidiaries, divisions, affiliates, assignees, employees, and attorneys of the same, and any other persons acting on Adtech's behalf.
- F. "Nortel" refers to Nortel Networks and any directors, officers, consultants, agents, representatives, predecessors in interest, parents, subsidiaries, divisions, affiliates, assignees, employees, and attorneys of the same, and any other persons acting on Nortel's behalf.
- G. "Cisco" refers to Cisco Systems, Inc. and any directors, officers, consultants, agents, representatives, predecessors in interest, parents, subsidiaries,

divisions, affiliates, assignees, employees, and attorneys of the same, and any other persons acting on Cisco's behalf.

- H. "Witness Products" refers to any product owned by Witness that integrates and/or interfaces with an Avaya Product. "Witness Products" also refers to any system and/or software jointly engineered, developed or manufactured by Witness and Avaya, Adtech, Cisco, or Nortel that receives, records, monitors, stores and/or plays back audio data. "Witness Products" also refers to any and all of the following products, Impact 360, ContactStore, ContactStore for Communication Manager and Quality for Communication Manager and ContactStore for IP, marketed or branded as set forth below or otherwise:
  - i. Eyretel's ContactStore
  - ii. Eyretel's MediaStore
  - iii. Eyretel's Contact 7000
  - iv. eQuality ContactStore
  - v. ContactStore
  - vi. ContactStore for IP
  - vii. Witness ContactStore for Communication Manager
  - viii. Witness Quality for Communication Manager
  - ix. Impact 360
  - x. eQuality Balance

- eOuality ContactStore for IP. xi.
- "Person" means any natural person or any business, legal or I. governmental entity or association, including, but not limited to, private and public partnerships, associations, corporations, joint ventures, sole proprietorships, firms and governments.
- "Document" means any recording of information in tangible or J. recoverable form, including all written, typed, printed, recorded or graphic matter, photographic matter and tapes, records, tape recordings or other sound or visual reproduction materials, computer databases, computer tapes and discs, word processing files, discs, diskettes, or "floppies", or any other devices or tangible objects however produced, reproduced or stored, upon which words or other information are affixed or recorded of from which, by appropriate transcription, written matter or a tangible thing may be produced, that is in Your actual or constructive possession, custody or control, all prior drafts of the foregoing, and copies of the foregoing on which any mark, alteration, writing, or other change from the original has been made. It includes, but is not limited to, letters, memoranda, reports, evaluations, e-mails, correspondence, communications, agreements, contracts, invoices, checks, journals, ledgers, telegrams, telexes, handwritten notes, periodicals, pamphlets, computer or business machine printouts, accountants' work papers, accountants' statements and writings, notations or

records of meetings, printers' galleys, books, papers, speeches, public relations issues, advertising, materials filed with government agencies, office manuals, employee manuals or office rules and regulations, reports of experts, and any other written matter. A draft or non-identical copy is a separate document.

- K. "Relating to," "relates to" and "concerning" shall be construed broadly as evidencing, constituting, referring to, comprising, illustrating, recording, memorializing, discussing or describing.
- L. The term "Patents-in-Suit" shall mean United States Patents Nos. 5,274,738 ("the '738 patent"), 5,396,371 ("the '371 patent"), 5,819,005 ("the '005 patent"), 6,249,570 ("the '570 patent"), 6,728,345 ("the '345 patent"), 6,775,372 ("the '372 patent"), 6,785,370 ("the '370 patent"), 6,870,920 ("the '920 patent"), 6,959,079 ("the '079 patent"), and 7,010,109 ("the '109 patent").
- M. The term "Communication" refers to any oral or written transmittal and/or receipt of words or information, whether such was by chance, pre-arranged, formal, or informal, and specifically includes without limitation notices of conversations in person, telephone conversations, faxes, telexes, telegrams, electronic mail, letters, reports, memoranda, formal statements, press releases, and media publications. References to communications with business entities shall be deemed to include present and former officers, directors, employees, agents, attorneys, or other representatives or such entities.

#### **TOPICS**

## TOPIC NO. 1

Identification of all Witness Product(s).

#### TOPIC NO. 2

The functionality, capabilities, operation, configuration, architecture and design of the Witness Product(s).

#### TOPIC NO. 3

The manner in which the Witness Product(s) integrate and/or interface with Avaya Product(s).

# TOPIC NO. 4

The implementation of the Witness Product(s) that integrate and/or interface with Avaya Product(s).

#### TOPIC NO. 5

The relationship between Witness and Avaya including the business relationship between the two companies and the resale of the Witness Product(s).

## TOPIC NO. 6

The sales and marketing of the Witness Product(s).

## TOPIC NO. 7

The financial performance and commercial success of the Witness Product(s), including annual revenue, unit sales and profitability.

## TOPIC NO. 8

The customer demand for the Witness Product(s).

#### TOPIC NO. 9

The functionality, capabilities, operation, configuration, architecture and design of the Avaya Products.

#### **TOPIC NO. 10**

The joint development by Witness and Avaya of any Witness Product.

#### **TOPIC NO. 11**

Identification of three Avaya employees or consultants who have the most knowledge of the functionality, capabilities, operation, configuration, architecture and design of the Witness Product(s).

#### **TOPIC NO. 12**

Identification of two Avaya employees or consultants who have the most knowledge of the commercial success and financial performance of the Witness Product(s).

#### TOPIC NO. 13

The authenticity of documents produced or created by Avaya.

# **TOPIC NO. 14**

The facts and circumstances surrounding Avaya's knowledge or awareness of any of the Patents-in-Suit and/or this litigation.

# **TOPIC NO. 15**

Any communications between Avaya and Witness relating to any of the Patents-in-Suit and/or this litigation.

#### **CERTIFICATE OF SERVICE**

I, Mary F. Dugan, Esquire, hereby certify that on May 15, 2007, I caused to be electronically filed a true and correct copy of the foregoing document, Notice of Deposition of Avaya, Inc., with the Clerk of the Court using CM/ECF, which will send notification that such filing is available for viewing and downloading to the following counsel of record:

William J. Marsden, Jr., Esquire Kyle Wagner Compton, Esquire Fish & Richardson, P.C. 919 North Market Street, Suite 1100 P.O. Box 1114 Wilmington, DE 19899-1114

I further certify that on May 15, 2007, I caused a copy of the foregoing document to be served by hand delivery on the above-listed counsel of record and on the following non-registered participants in the manner indicated:

#### BY E-MAIL

Noah C. Graubart (graubart@fr.com)
Nagendra Setty (setty@fr.com)
Daniel A. Kent (kent@fr.com)
Christopher O. Green (green@fr.com)
Fish & Richardson, P.C.
1180 Peachtree Street, NE
21<sup>st</sup> Floor
Atlanta, GA 30309

Mary F. Dugan (No. 4704)

YOUNG CONAWAY STARGATT & TAYLOR, LLP

The Brandywine Building, 17th Floor

1000 West Street

Wilmington, DE 19801

P.O. Box 391

Wilmington, Delaware 19899-0391

(302) 571-5028

mdugan@ycst.com